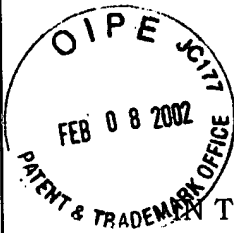


2444-101
BGE:krb



Receipt

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Esther H. CHANG et al.

Serial No. **09/601,444**

Filed: **January 4, 2001**

) ATTN.: Appln. Processing
) Division, Customer Correction
) Branch

RECEIVED
FEB 14 2002
TECH CENTER 1600/2900

For: **TARGETED LIPOSOME GENE DELIVERY**

STATUS INQUIRY RE CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

A Request for Corrected Filing Receipt was filed in the Patent and Trademark Office on June 6, 2001 in connection with the above-identified application. No Corrected Filing Receipt has been received to date. Applicants respectfully inquire as to when the Corrected Filing Receipt can be expected.

Attached hereto is a copy of the originally filed papers.

Respectfully submitted,

By Barbara G. Ernst
Barbara G. Ernst
Attorney for Applicants
Registration No. 30,377
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202)783-6040

THE PATENT OFFICE'S STAMP HEREON IS ACKNOWLEDGMENT BY IT OF
RECEIPT OF THE FOLLOWING IN REGARD TO:

ATTORNEY DOCKET NO.	2444-101	APPLICANT/PATENTEE	Esther H. CHANG et al.
SERIAL NO.	09/601,444	ATTORNEY/TYPIST INITIALS	SAS:lew
FILED	01/04/ 2001	DUE DATE	--

1.
2.
3.
4.
5.
6.
7.

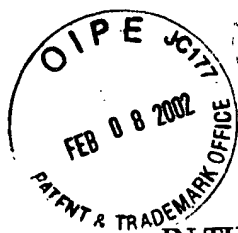
Request for Corrected Filing Receipt w/copy marked in red.

Fee: \$ _____ ☐ Check ☐ Deposit Account



RECEIVED
FEB 14 2002
TECH CENTER 1000/2000

444-101
SAS:lew



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of) OFFICE OF INITIAL PATENT EXAMINATION'S
Esther H. CHANG et al.) CUSTOMER SERVICE CENTER
Serial No.: 09/601,444) Examiner: Unassigned
Filed: January 4, 2001) Group Art Unit: 1623
For: TARGETED LIPOSOME GENE DELIVERY)
)
)
)

RECEIVED
FEB 14 2002
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

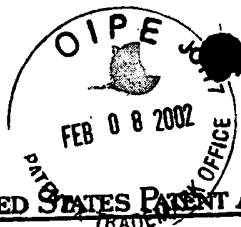
Applicants are in receipt of the Official Filing Receipt dated 01/26/2001. Attached hereto is a copy of the Official Filing Receipt with the requested change marked in red.

We believe that the error was made on the part of the Patent Office and that no fee is due.

However, should a fee be deemed necessary, the Commissioner of Patents and Trademarks is authorized to charge any fee to Deposit Account No. 02-2135 necessary to effect these changes.

Respectfully submitted,

By: Stephen A. Saxe
Stephen A. Saxe
Attorney for Applicant
Registration No. 38,609
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

RECEIVED
FEB 14 2002
TECH CENTER 1600/2900

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/601,444	01/04/2001	1623	1389	2444-101	1	80	9

Rothwell Figg Ernst & Manbeck
Suite 701 E
555 13th Street NW
Washington, DC 20004

FILING RECEIPT



OC000000005711063

Date Mailed: 01/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Esther H. Chang, Chevy Chase, MD ;
Liang Xu, Arlington, VA ;
Kathleen Pirollo, Arlington, VA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US98/24657 11/19/1998
WHICH CLAIMS BENEFIT OF 60/066,188 11/28/1997 11/19/1997
WHICH CLAIMS BENEFIT OF 60/083,175 04/27/1998

RECEIVED/DOCKETING ROTHWELL FIGG ERNST & MANBECK
FEB - 1 2001
REFERRED TO: BEN
FILE NO. 2444-101
OK TO FILE

Foreign Applications

If Required, Foreign Filing License Granted 01/26/2001

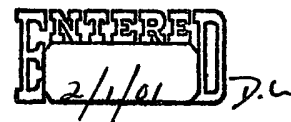
** SMALL ENTITY **

Title

Targeted liposome gene delivery

Preliminary Class

514





APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09601444	01/04/01	CHANG, et al.	2444-101

Rothwell Figg Ernst & Manbeck
Suite 701 E
555 13th Street NW
Washington, DC 20004

RECEIVED
FEB 14 2002
TECH CENTER 1600/2900

Date Mailed: 02/12/02

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).
- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The priority or continuity will not be entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.

☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.

☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.

☒ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



Customer Service Center
Office of Initial Patent Examination
(703) 308-1202